#### APPENDIX A

### CLAIMS ON APPEAL

The following is a listing of all claims, pending or canceled, incorporating all elements and revisions to date. All non-canceled claims are on appeal, canceled claims being canceled without prejudice or disclaimer.

 (Original) A method of assuming risk for a lottery, the method comprising:

providing a guarantee of payment of a prize in a lottery, wherein the guarantee is in exchange for a stipulation of a percentage of ticket sales revenue in the lottery, wherein the providing the guarantee occurs prior to the ticket sales revenue in the lottery; and

receiving the percentage of the ticket sales in the lottery.

- 2. (Cancelled).
- 3. (Original) The method of claim 1, wherein the prize is a jackpot.
- (Original) The method of claim 1, wherein the prize is a portion of a jackpot.
  - 5. (Original) The method of claim 1, wherein the prize is secondary.
- 6. (Original) The method of claim 1, wherein the guarantee guarantees that the payment of the prize will be paid even if the ticket sales revenue is not greater in size than the payment of the prize.
- 7. (Original) The method of claim 1, wherein the guarantee guarantees that the payment of the prize will be paid even if the percentage of the ticket sales revenue is not greater in size than the payment of the prize.

- (Original) The method of claim 1, wherein the providing the guarantee is effectuated to a jurisdiction.
- (Original) The method of claim 1, wherein the providing the guarantee assumes the risk of the lottery.
- (Original) The method of claim 1, wherein the lottery can be played on a video lottery terminal.
- (Original) The method of claim 1, wherein the lottery can be played on a computing device operably connected to the Internet.
- 12. (Original) The method of claim 1, wherein a lottery ticket for the lottery can be purchased at a point of sale location.
- (Original) The method of claim 1, further comprising obtaining insurance to provide the guarantee of payment.
  - 14. (Original) A lottery risk assumption system, comprising:

a prize guarantee system that provides a guarantee of payment of a prize in a lottery, wherein the prize guarantee system provides the guarantee in exchange for a stipulation for a percentage of ticket sales revenue in the lottery, wherein the prize guarantee system provides the guarantee prior to the ticket sales in the lottery; and

a receiving system that receives the percentage of the ticket sales revenue in the lottery.

- (Original) The lottery risk assumption system of claim 14, wherein the prize is a jackpot.
- (Original) The lottery risk assumption system of claim 14, wherein the prize is a portion of a jackpot.
- (Original) The lottery risk assumption system of claim 14, wherein the prize is secondary.

- 18. (Original) The lottery risk assumption system of claim 14, wherein the guarantee guarantees that the payment of the prize will be paid even if the ticket sales revenue is not greater in size than the payment of the prize.
- 19. (Previously Presented) The lottery risk assumption system of claim 14, wherein the guarantee guarantees that the payment of the prize will be paid even if the percentage of the ticket sales revenue is not greater in size than the payment of the prize.
- 20. (Original) The lottery risk assumption system of claim 14, wherein the prize guarantee system provides the guarantee to a jurisdiction.
- 21. (Original) The lottery risk assumption system of claim 14, wherein the prize guarantee system assumes the risk of the lottery.
- 22. (Original) The lottery risk assumption system of claim 14, wherein the lottery can be played on a video lottery terminal.
- 23. (Original) The lottery risk assumption system of claim 14, wherein the lottery can be played on a computing device operably connected to the Internet.
- 24. (Original) The lottery risk assumption system of claim 14, wherein a lottery ticket for the lottery can be purchased at a point of sale location.
- 25. (Original) The lottery risk assumption system of claim 14, further comprising an insurance system that provides the guarantee of payment.
  - 26. (Cancelled).
  - 27. (Cancelled).
  - 28. (Cancelled).
  - 29. (Cancelled).
  - (Cancelled).
  - 31. (Cancelled).

- (Cancelled).
- 33. (Cancelled).
- 34. (Cancelled).
- 35. (Original) A lottery system comprising:
- a guarantee transmission module that transmits a guarantee through a network, wherein the guarantee guarantees the payment of a predetermined lottery prize;
- a guarantee reception module that receives the guarantee through the network; and
- a lottery creation module that establishes a lottery in which players can purchase tickets to win the predetermined lottery prize upon receipt of the guarantee from the guarantee reception module.
- 36. (Original) The lottery system of claim 35, wherein the lottery creation module provides an instruction to a point of sale location that allows the point of sale location to sell a ticket for the lottery.
- (Original) The lottery system of claim 35, wherein the network is a local area network.
- 38. (Original) The lottery system of claim 35, wherein the network is a wide area network.
- (Original) The lottery system of claim 35, wherein the network is the Internet.
- 40. (Original) The lottery system of claim 35, further comprising a risk module that upon the winning of the predetermined lottery prize determines if the tickets sales for the predetermined lottery prize are less than the predetermine lottery prize.

- 41. (Original) The lottery system of claim 40, wherein the risk module transmits a payment request through the network if the ticket sales for the predetermined lottery prize are less than the predetermined lottery prize.
- 42. (Original) The lottery system of claim 35, wherein the guarantee is provided in exchange for a stipulation of payment for a percentage of future ticket sales.
- 43. (Original) The lottery system of claim 35, further comprising a stipulation reception module that receives, through the network, the stipulation of payment for the percentage of future ticket sales.
- **44. (Original)** The lottery system of claim 43, wherein the stipulation reception module is operably connected to the guarantee transmission module.

### 45. (Original) A game of chance guarantee system comprising:

a guarantee transmission module that transmits a guarantee through a network, wherein the guarantee guarantees the payment of a predetermined prize in a game of chance, wherein a prize can be won by winning the game of chance, wherein the game of chance is played according to a plurality of rules, wherein the guarantee is provided in exchange for a stipulation for a percentage of the wagers;

a guarantee reception module that receives the guarantee through the network;

- a game of chance creation module that establishes the game of chance upon receipt of the guarantee from the guarantee reception module, wherein players can make wagers in the game of chance.
- 46. (Original) The game of chance guarantee system of claim 45, wherein the game of chance creation module is housed within a gaming machine.
- 47. (Original) The game of chance guarantee system of claim 46, wherein the game of chance creation module establishes the game of chance by providing an

instruction to a controller housed within the gaming machine to initiate the game of chance.

- 48. (Original) The game of chance guarantee system of claim 45, wherein the stipulation reception module receives, through the network, the stipulation of payment for the percentage of wagers.
- 49. (Original) The game of chance guarantee system of claim 45, wherein the network is a local area network.
- **50. (Original)** The game of chance guarantee system of claim 45, wherein the network is a wide area network.
- 51. (Original) The game of chance guarantee system of claim 45, wherein the network is the Internet.
- 52. (Original) The game of chance guarantee system of claim 45, wherein the stipulation reception module is operably connected to the guarantee transmission module.
- 53. (Original) A method for guaranteeing a probabilistic lottery system, comprising:

providing a guarantee for a pre-determined prize, wherein the predetermined prize can be advertised by a lottery operator, wherein the pre-determined prize is increased by a percentage of each ticket sale after each ticket sale; and

receiving a stipulation for a percentage of ticket sales in exchange for the providing the guarantee.

- 54. (Original) The method of claim 53, wherein the prize is a jackpot.
- 55. (Original) The method of claim 53, wherein the prize is a portion of a jackpot.
  - 56. (Original) The method of claim 53, wherein the prize is secondary.

- 57. (Original) The method of claim 53, wherein the guarantee guarantees that the payment of the prize will be paid even if the ticket sales are not greater in size than the payment of the prize.
- **58.** (Original) The method of claim 53, wherein the providing the guarantee is effectuated to a jurisdiction.
- **59. (Original)** The method of claim 53, wherein the providing the guarantee assumes the risk of the lottery.
- 60. (Original) The method of claim 53, wherein the lottery can be played on a video lottery terminal.
- 61. (Original) The method of claim 53, wherein the lottery can be played on a computing device operably connected to the Internet.
- **62.** (Original) The method of claim 53, wherein a lottery ticket for the lottery can be purchased at a point of sale location.
- **63.** (Original) The method of claim 53, further comprising obtaining insurance to provide the guarantee of payment.

#### 64. (Previously Presented) A method comprising:

providing a guarantee of payment of a prize in a lottery game, wherein the guarantee is in exchange for a stipulation, wherein the providing the guarantee occurs such that the lottery game is established, upon receipt of the guarantee, such that players can purchase tickets to win the prize; and

receiving the stipulation.

- 65. (Previously Presented) The method of claim 64, wherein the prize is offered at a minimum predetermined amount.
- 66. (Previously Presented) The method of claim 65, wherein the prize exceeds the minimum predetermined amount if sale of the tickets exceeds a threshold.

- 67. (Previously Presented) The method of claim 64, wherein the guarantee guarantees that the payment of the prize will be paid even if revenue from the sale of the tickets is not greater in size than payment of the prize.
- 68. (Previously Presented) The method of claim 64, wherein the prize is a jackpot.
- 69. (Previously Presented) The method of claim 64, wherein the prize is a portion of a jackpot.
- 70. (Previously Presented) The method of claim 64, wherein the prize is secondary.

## 71. (Previously Presented) A system comprising:

a prize guarantee system that provides a guarantee of payment of a prize in a lottery game, wherein the guarantee is in exchange for a stipulation, wherein the providing the guarantee occurs such that the lottery game is established, upon receipt of the guarantee, such that players can purchase tickets to win the prize; and

a receiving system that receives the stipulation.

- 72. (Cancelled).
- 73. (Cancelled).
- 74. (Previously Presented) A method comprising:

providing a guarantee of payment of a prize in a game of chance, wherein the guarantee is in exchange for a stipulation, wherein the providing the guarantee occurs such that the game of chance is established, upon receipt of the guarantee, such that players can purchase tickets to win the prize; and

receiving the stipulation.

75. (Previously Presented) A system comprising:

a prize guarantee system that provides a guarantee of payment of a prize in a game of chance, wherein the guarantee is in exchange for a stipulation, wherein the providing the guarantee occurs such that the game of chance is established, upon receipt of the guarantee, such that players can purchase tickets to win the prize; and

a receiving system that receives the stipulation.

## APPENDIX B

# EVIDENCE

None.

## APPENDIX C

# RELATED PROCEEDINGS

None.